

Research Paper

# Updated Nomogram Incorporating Percentage of Positive Cores to Predict Probability of Lymph Node Invasion in Prostate Cancer Patients Undergoing Sentinel Lymph Node Dissection

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## Abstract

**Objectives:** To update the first sentinel nomogram predicting the presence of lymph node invasion (LNI) in prostate cancer patients undergoing sentinel lymph node dissection (sPLND), taking into account the percentage of positive cores.

**Patients and Methods:** Analysis included 1,870 prostate cancer patients who underwent radioisotope-guided sPLND and retropubic radical prostatectomy. Prostate-specific antigen (PSA), clinical T category, primary and secondary biopsy Gleason grade, and percentage of positive cores were included in univariate and multivariate logistic regression models predicting LNI, and constituted the basis for the regression coefficient-based nomogram. Bootstrapping was applied to generate 95% confidence intervals for predicted probabilities. The area under the receiver operator characteristic curve (AUC) was obtained to quantify accuracy.

**Results:** Median PSA was 7.68 ng/ml (interquartile range (IQR) 5.5–12.3). The number of lymph nodes removed was 10 (IQR 7–13). Overall, 352 patients (18.8%) had LNI. All preoperative prostate cancer characteristics differed significantly between LNI-positive and LNI-negative patients ( $P<0.001$ ). In univariate accuracy analyses, the proportion of positive cores was the foremost predictor of LNI (AUC, 77%) followed by PSA (71.1%), clinical T category (69.9%), and primary and secondary Gleason grade (66.6% and 61.3%, respectively). For multivariate logistic regression models, all parameters were independent predictors of LNI ( $P<0.001$ ). The nomogram exhibited a high predictive accuracy (AUC, 83.5%).

**Conclusion:** The first update of the only available sentinel nomogram predicting LNI in prostate cancer patients demonstrates even better predictive accuracy and improved calibration. As an additional factor, the percentage of positive cores represents the leading predictor of LNI. This updated sentinel model should be externally validated and compared with results of extended PLND-based nomograms.

Key words: prostate cancer; sentinel node; lymphadenectomy; lymph node invasion; nomogram; <sup>99m</sup>technetium nanocolloid.

## Introduction

Lymph node (LN) status is a crucial prognostic factor in prostate cancer and also has therapeutic relevance. The risk of progression can be calculated and appropriate adjuvant therapy can be planned.

Despite recent advances in imaging, the histological detection of metastases, or pelvic LN dissection (PLND), is still the gold standard for LN staging in clinically localized prostate cancer. None of the

available means of radiological imaging provides equal sensitivity in the detection of LN invasion (LNI) in prostate cancer patients. New imaging methods, like  $^{68}\text{Ga}$  prostate-specific membrane antigen (PSMA) positron emission tomography (PET)/computer tomography or PET/magnetic resonance imaging are suitable for the detection of small metastases, but the reliability of these procedures is limited by their spatial resolution, resulting in a low sensitivity (49%–66%) in detection of LN (micro)metastases [1].

LNI prevalence is directly related to the number of dissected LNs and extent of PLND [2]. For these reasons, the European Association of Urology (EAU) guidelines recommend an extended PLND (ePLND) approach for LN staging of prostate cancer patients with a >5% risk of LNI calculated by ePLND-based nomograms [3-5]. However, the rate of complications rises along with the number of LNs removed [6-8]. Furthermore, the extended template may not encompass all the lymphatic drainage sites. Studies using radioisotope-guided sentinel PLND (sPLND) demonstrated up to 35% of prostate lymphatic drainage sites outside of the standard extended anatomical template, which includes the external iliac, hypogastric, and obturator fossa regions [9, 10]. Because of the increased complication rates of ePLND and low detection rate of limited PLND (IPLND) procedures, the concept of radioisotope-guided sentinel lymph node (SLN) identification used in other tumor entities was transferred to prostate cancer [11]. In breast cancer, sentinel lymphadenectomy was shown to provide similar staging accuracy compared with nodal dissection while reducing morbidity [12]. SLN detection improved survival when compared with conservative management in melanoma patients [13].

In prostate cancer, the sentinel approach allows an individualized extension of LN dissection outside the borders of ePLND with targeted removal of relatively few LNs, which also means lower morbidity [8]. Currently, a variety of new techniques (e.g., hybrid radioactive/fluorescent tracer methods, and marking with superparamagnetic iron-oxide nanoparticles) are showing promising results in the identification of SLNs in prostate cancer patients in open, laparoscopic, and robot-assisted approaches [14-16]. A current consensus report provides a basis for further studies in this field [17].

For radioisotope-guided sPLND using  $^{99\text{m}}\text{Tc}$  nanocolloid, studies involving several thousand prostate cancer patients demonstrated a high sensitivity for the identification of LN-positive patients [18-20]. However, until recently, nomograms predicting LNI for prostate cancer patients under-

going PLND were only developed based on data from conventional IPLND or ePLND and were not related to a sentinel-guided approach [4, 5, 21, 22, 23].

Therefore, a nomogram for predicting LNI in prostate cancer patients undergoing sPLND was developed [24]. This first sentinel nomogram could highly accurately predict LNI at a sPLND, and for the first time assist clinicians and patients in making important decisions upon indication of a sPLND. However, no consideration has yet been given to the percentage of positive cores as a predictor, as in other nomograms [4, 25]. In the updated Briganti Nomogram, the percentage of positive cores is the most accurate predictor of LNI [4].

The objective of the present study was an update of the first sentinel nomogram, taking into account the percentage of positive cores considering an even larger sentinel collective. With regard to the results of the ePLND series, we expected further improvement in predictability upon inclusion of the percentage of positive cores.

## Patients and Methods

### Patients

A total of 1,890 consecutive prostate cancer patients were identified who underwent sPLND in combination with radical retropubic prostatectomy carried out by four highly experienced surgeons in a single center between January 2006 and December 2013. We excluded patients with incomplete clinical information for prostate-specific antigen (PSA), clinical category, or biopsy Gleason score ( $n=4$ , 0.2%). Furthermore, we also excluded cases who had undergone a transurethral resection or laser therapy of the prostate, hormonal therapy prior to operative treatment, and those with cT4 tumors ( $n=16$ , 0.8%). The final sample comprised 1,870 patients. All patients were informed orally and in writing about a sPLND and radical retropubic prostatectomy, and all signed a consent form.

### sPLND technique

$^{99\text{m}}\text{Tc}$  nanocolloid was transrectally injected 24 h before surgery into the prostate with ultrasound guidance [24]. Three injections were performed per prostate lobe. Activity attained approximately 100 MBq per lobe and total injection volume was about 1.2 ml. A few hours after injection, scintigraphy was carried out. The radioactivity of the LN was intraoperatively measured using two different gamma probe systems (C-Trak System, Care Wise, MorganHill, CA, USA; Crystal Probe SG04, Crystal Photonics GmbH, Berlin, Germany). LNs identified as SLNs by the gamma probe were dissected. For surgical reasons, LNs other than SLNs

directly adjoining and adhering to SLNs were also removed if an *in-situ* separation was not possible. Furthermore, in the case of SLNs in the obturator fossa area, the surrounding non-radioactive lymphatic tissue of the fossa was also dissected. However, lymphatic tissue of the fossa was not resected if no SLN existed in the fossa area.

### Histopathological examination

All LNs were initially cut into 3-mm-thick transverse sections, routinely processed and completely embedded in paraffin; 4–5- $\mu$ m-thick sections were stained with hematoxylin-eosin (H&E). Selected cases of serial sections were analyzed. Immunohistochemistry with a pan-cytokeratin antibody (AE1/AE3) was carried out to confirm or exclude metastatic spread in rare cases with inconclusive conventional histology.

### Statistical analyses

Univariable and multivariable logistic regression models were carried out to test the association between preoperative tumor characteristics and the probability of LNI. The predictor variables were preoperative PSA level, clinical T-category, primary and secondary biopsy Gleason grade, and the percentage of positive cores. The clinical category was classified per the 2002 Union for International Cancer Control TNM staging system.

Regression coefficients were used to develop the nomogram that predicts the probability of LNI at a sPLND. Bootstrapping (9,999 replications) was applied to generate reliable 95% confidence intervals for the predicted probabilities and for internal validation. Predictive accuracy was quantified using the area under the receiver operator characteristic curve (AUC). The performance characteristics were evaluated using a calibration plot of predicted probabilities against observed LNI rates.

Finally, we systematically assessed the sensitivity, specificity, positive predictive value, and negative predictive value of a range of nomogram thresholds from 1% to 10% to correctly predict histologically confirmed LNI.

Statistical analyses were performed using the GLM (generalized linear model) function of the open-source statistical software R (R Development Core Team 2016) [26].

### Results

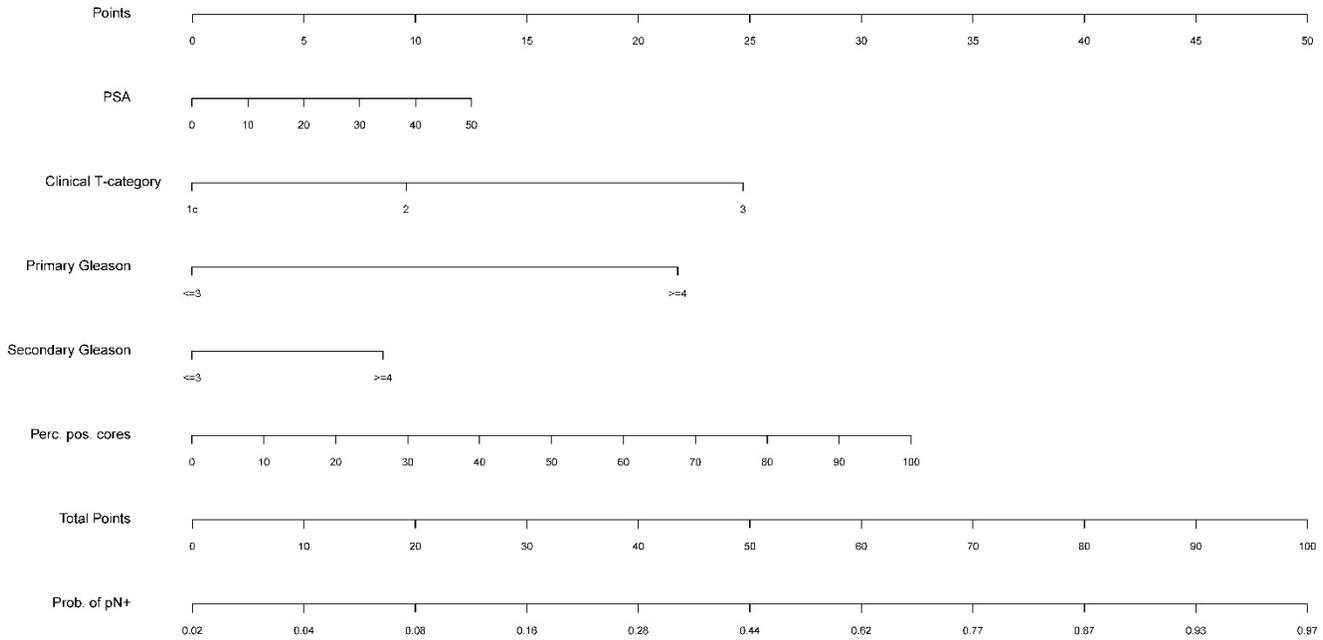
Table 1 summarizes the patient characteristics. The median number of LNs removed was 10 (IQR 7–13), encompassing a median of six (IQR 4–8) SLNs. Overall, 18.8% of patients (n=352) had LNI. The median number of positive LNs per patient was two (IQR 1–3).

**Table 1.** Characteristics of patients according to lymph node invasion.

	Overall	pN0	pN1	p value
Patients	1,870	1,518 (81.2)	352 (18.8)	
Age at surgery, yr	67 (61-71)	67 (61-71)	67 (63-71)	0.0252
No. of LN removed	10 (7-13)	10 (7-13)	12 (9-14)	<0.001
No. of positive LN	-	-	2 (1-3)	-
Total PSA, ng/ml	7.68 (5.5-12.3)	7.09 (5.3-10.7)	12.3 (7.7-20.7)	<0.001
T-category				<0.001
T1c	1,021 (54.6)	933 (61.5)	88 (25.0)	
T2	807 (43.2)	577 (38)	230 (65.3)	
T3	42 (2.2)	8 (0.5)	34 (9.7)	
Primary Gleason grade				<0.001
≤3	1,592 (85.1)	1,387 (91.4)	205 (58.2)	
≥4	278 (14.9)	131 (8.6)	147 (41.8)	
Secondary Gleason grade				<0.001
≤3	1,098 (58.7)	956 (63)	142 (40.3)	
≥4	772 (41.3)	562 (37)	210 (59.7)	
Biopsy cores taken	12 (10-14)	12 (10-14)	12 (9-13)	<0.001
Positive biopsy cores	4 (2-6)	3 (2-5)	6 (4-10)	<0.001
% of positive biopsy cores	33.3 (16.7-50)	25.8 (14.3-46.2)	59.6 (39.4-100)	<0.001
Postoperative Gleason sum				<0.001
≤6	324 (17.3)	323 (21.3)	1 (0.3)	
7	1,377 (73.6)	1,141 (75.2)	236 (67.0)	
8-10	169 (9)	54 (3.6)	115 (32.7)	
Pathologic stage				<0.001
pT2	1,181 (63.2)	1,141 (75.2)	40 (11.4)	
pT3a	357 (19.1)	262 (17.3)	95 (27)	
pT3b	285 (15.2)	101 (6.5)	184 (52.3)	
pT4	47 (2.5)	14 (0.9)	33 (9.4)	

Data are given as median (IQR) or number (%).

IQR= Interquartile range; LN= lymph node; PSA= prostate-specific antigen



**Figure 1. Nomogram predicting the probability of lymph node involvement (LNI) in patients undergoing sentinel-guided pelvic lymphadenectomy based on preoperative PSA, clinical T-category, primary and secondary biopsy Gleason grade, and percentage of positive cores.** Instructions: Locate the pretreatment parameters (e.g. PSA, ng/ml) on the respective axis and draw a line straight up to the point axis. Sum the points for each of the predictors and locate the final sum on the total point axis. Draw a line straight down to find the patient's probability of having LNI (pN+).

In the multivariate logistic regression analysis, all variables (preoperative PSA level, clinical T-category, primary and secondary biopsy Gleason grade, and the percentage of positive cores) were significantly associated ( $P<0.001$ ) with LNI. The multivariate predictive accuracy (AUC) was 83.5% under consideration of all aforementioned predictors. Univariate analysis also showed a significant ( $P<0.001$ ) association between each predictor and LNI. In the univariate predictive accuracy analysis, the percentage of positive cores was the most accurate predictor of LNI (77.0%), followed by PSA value (71.1%), clinical T-category (69.9%), and primary and secondary biopsy Gleason grade (66.6%; 61.3%). The results of the multivariate and univariate logistic regression analyses are detailed in Table 2.

Figure 1 illustrates the nomogram tool in a graphical form, generated by the multivariate analysis.

The calibration plot of predicted probabilities against observed LNI rates showed a high level of consistency between predicted and actual probabilities. The nomogram slightly underestimated the actual LNI risk when the predicted probability was between 40% and 80% (see Figure 2).

Table 3 presents the predictive accuracy and error associated with the updated sentinel nomogram when it calculates that a patient has a low risk of LNI (from 1% to 10%). Nomogram-derived predicted probabilities of LNI are categorized into strata.

**Table 2. Results of multivariate and univariate logistic regression analyses predicting lymph node invasion based on preoperative PSA, clinical T-category, biopsy Gleason grade, and percentage of positive cores.**

Predictors	Univariate model		Multivariate model		
	Odds ratio (95% CI)	p value	Predictive accuracy, AUC	Odds ratio (95% CI)	p value
Preoperative PSA, ng/ml	1.04 (1.03-1.06)	<0.001	71.1%	1.02 (1.01-1.03)	<0.001
Clinical T-category	-	<0.001	-	-	<0.001
T2 vs. T1	4.23 (3.25-5.54)	<0.001	69.9%	2.00 (1.47-2.71)	<0.001
T3 vs. T1	45.06 (21.23-107.44)	<0.001	-	5.91 (2.45-15.66)	<0.001
Primary Gleason grad ≥4 vs. ≤3	7.59 (5.76-10.03)	<0.001	66.6%	4.79 (3.47-6.62)	<0.001
Secondary Gleason grad ≥4 vs. ≤3	2.52 (1.99-3.19)	<0.001	61.3%	1.85 (1.38-2.48)	<0.001
% of positive biopsy cores	1.04 (1.03-1.04)	<0.001	77.0%	1.02 (1.02-1.03)	<0.001
Predictive accuracy, AUC			83.5%		

PSA= prostate-specific antigen; AUC= area under the receiver operator characteristic curve.

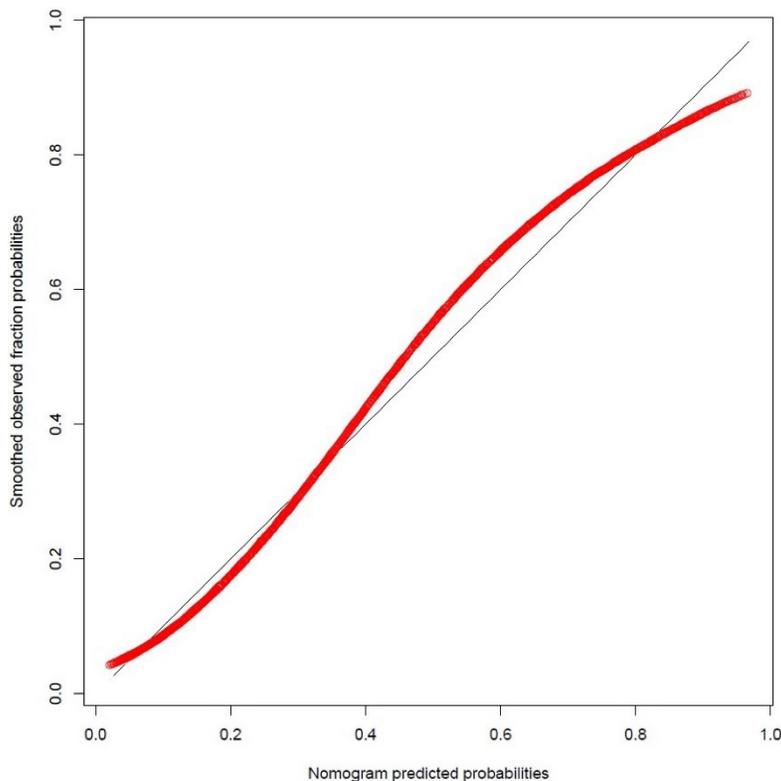
The number of patients actually having negative LNs and those with positive nodes as well as sensitivity, specificity, and negative predictive values are depicted for each stratum. Accordingly, using a

nomogram cutoff of 5%, 510 of 1,870 patients (27.3%) would be spared a sPLND. On the other hand, avoidance of sPLND in those 510 cases would have resulted in missing LNI in 17 patients or in 4.8% of all patients with histologically confirmed LNI.

### Discussion

In 2015, we reported the first sPLND-based nomogram, including the preoperative PSA, clinical category, and the Gleason sum as predictors for LNI [24]. Until now, it was the only available nomogram

predicting LNI in prostate cancer patients based on sPLND. With an AUC of 82%, the first sentinel nomogram presents a comparably accurate model for predicting LNI in prostate cancer patients. Nomograms based on IPLND or ePLND series that use the same preoperative parameters to predict LNI provided reliability between 76% and 86% [4, 5, 23]. Table 4 shows the comparison of the nomograms in detail.



**Figure 2. Nomogram calibration plot.** The red line indicates actual nomogram performance. The black line indicates the location of the ideal nomogram in which predicted and actual probabilities are identical.

**Table 3. Systematic analysis of thresholds used to discriminate between patients with or without histologically confirmed lymph node invasion, in 1,870 patients treated with radical retropubic prostatectomy and radioisotope guided sentinel lymphadenectomy between 2006 and 2013, at a single institution.**

Nomogram-calculated probability of LNI (threshold, %)	Patients in whom sPLND is not recommended according to the threshold (below threshold)*	Patients below threshold without histological LNI*	Patients below threshold with histological LNI*	Patients in whom sPLND is recommended according to the threshold (above threshold)*	Patients above threshold without histological LNI*	Patients above threshold with histological LNI*	PPV	NPV
≥ 1	0 (0.0)	0 (0.0)	0 (0.0)	1870 (100.0)	1518 (100.0)	352 (100.0)	18.8	100.0
≥ 2	0 (0.0)	0 (0.0)	0 (0.0)	1870 (100.0)	1518 (100.0)	352 (100.0)	18.8	100.0
≥ 3	141 (7.5)	138 (9.0)	3 (0.9)	1729 (92.5)	1380 (90.9)	349 (99.2)	20.2	97.9
≥ 4	405 (21.7)	393 (25.9)	12 (3.4)	1465 (78.3)	1125 (74.1)	340 (96.6)	23.2	97.0
≥ 5	510 (27.3)	493 (36.2)	17 (4.8)	1360 (72.7)	1025 (67.5)	335 (95.2)	24.6	96.7
≥ 6	622 (33.3)	599 (32.5)	23 (6.5)	1248 (66.7)	919 (60.5)	329 (93.5)	26.4	96.3
≥ 7	745 (39.8)	714 (47.0)	31 (8.8)	1125 (60.2)	804 (53.0)	321 (91.2)	28.5	95.8
≥ 8	831 (44.4)	789 (52.0)	42 (11.9)	1039 (55.6)	729 (48.0)	310 (88.1)	29.8	95.0
≥ 9	893 (47.8)	846 (55.7)	47 (13.4)	977 (52.3)	672 (44.3)	305 (86.7)	31.2	94.7
≥ 10	954 (51.0)	902 (59.4)	52 (14.8)	916 (49.0)	616 (40.6)	300 (85.2)	32.8	94.6

\* Data are given as number (%). PPV = Positive predictive value; NPV = negative predictive value; LNI = lymph node involvement, sPLND = sentinel-guided pelvic lymph node dissection.

**Table 4.** Various models predicting lymph node invasion for patients undergoing pelvic lymphadenectomy at radical prostatectomy based on clinical tumour characteristics (e.g., PSA, clinical T-category, biopsy Gleason score).

Reference	Number of patients	PLND technique	Prevalence of LNI	AUC
Eifler <i>et al.</i> [22]	5,629	IPLND	1.0%	n.a.
Cagiannos <i>et al.</i> [23]	5,510	IPLND	3.7%	76.0%
Briganti <i>et al.</i> [21]	781	ePLND	9.1%	78.6%
Briganti <i>et al.</i> [4]	588	ePLND	8.3%	87.6%
Godoy <i>et al.</i> [5]	4,176	ePLND	5.2%	86.2%
Winter <i>et al.</i> [24]	1,296	sPLND	17.8%	82.0%
Winter <i>et al.</i> [updated sentinel nomogram]	1,870	sPLND	18.8%	83.8%

PLND= pelvic lymph node dissection; IPLND= limited pelvic lymph node dissection; ePLND= extended pelvic lymph node dissection; sPLND= sentinel-guided pelvic lymph node dissection; LNI= lymph node invasion; AUC= area under the receiver operator characteristic curve

Our updated sentinel nomogram added the percentage of positive cores as well as the primary and secondary Gleason grade as predictors, demonstrating an improved calibration and an even higher bootstrap-corrected predictive accuracy (AUC, 83.5%) than the first. These parameters are also included in the updated Briganti nomogram [4]. The Briganti nomogram and the updated Memorial Sloan-Kettering Cancer Center (MSKCC) nomogram are some of the most commonly used LNI nomograms [4, 5]. Unlike most of the previous predictive tools, they were based on the results of ePLND. Both nomograms were included in the recommendation of the EAU guidelines. A calculated probability of LNI <5% had been determined as a threshold for not performing ePLND [3]. Based on the data of the Briganti nomogram, this cutoff would allow the avoidance of unnecessary PLND in about 65% of patients at the cost of missing 12% of patients with LNI [4]. In the updated sentinel nomogram, using a nomogram cutoff of 5%, approximately one-third of patients (27.3%) would be spared a sPLND. However, avoidance of sPLND in those cases would have resulted in missing LNI in only 4.8% of all patients with LNI. In this context, the low morbidity of sPLNDs should be weighted [8] and that patients with minimal LNI who particularly appear to benefit from removal of LN metastases should be noted [27].

As shown in Table 4, despite the removal of fewer LNs in the updated sentinel nomogram (median, 10) than in the Briganti (median, 16.5) and the MSKCC nomogram (median, 11), the proportion of LN-positive patients was significantly higher in the updated sentinel nomogram cohort than in that of these two ePLND series or other PLND series. Accordingly, it was possible to demonstrate that for the sPLND, the LNI rate was higher in a sentinel cohort than was expected from the Briganti

nomogram [28]. One reason for this might be the advantage of targeted dissection of tumor-associated LNs or tailoring the extent of PLND to individual lymphatic drainage. Joniau *et al.* showed that 21% of SLNs could be found in the presacral and perirectal regions, and concluded that 8% of LN-positive patients would have been missed if a standard ePLND had been performed [29]. Results of a current systematic review indicate that for one in 20 patients who had undergone ePLND, metastatic LNs would have been left behind without performing sPLND [19]. Another explanation for the higher rate of positive LNs in patients undergoing sPLND might be a more detailed histopathologic evaluation of all removed LNs. In clinical routine or conventional PLND, the LNs are often provided to the pathologists in packages. The targeted sentinel procedure has the advantage that relatively few LNs are removed and can be more intensively examined. For example, more small or micrometastases can be detected by increasing the number of sections. This may contribute to the elevated detection rate of positive nodes in the sentinel guided procedure, too. Principally, this also offers the opportunity to use molecular procedures for LN evaluation, which can further increase the sensitivity in the detection of LN metastases in prostate cancer patients [30]. Taking into account all these aspects, the updated sentinel nomogram provides prostate cancer patients and surgeons a crucial basis to decide for or against a (s)PLND.

This study represents the first sPLND-based nomogram considering the percentage of positive cores as a main predictor for LNI. The strength of this study encompasses the high number of patients included, improved calibration, and the resulting high reliability for the prediction of LNI in prostate cancer patients undergoing sPLND.

The limitations of this study include those inherent to a retrospective analysis of prospectively collected data and the selection bias associated with a surgical series from a single institution. However, the staging accuracy and the rates of LNI patients detected by sPLNDs in the monitored sample compare well with data from other radio-guided sPLND-experienced centers [18]. Furthermore, prior to its application in everyday clinical practice, the updated sentinel nomogram should be externally validated in real practice [31, 32].

## Conclusions

For radioisotope-guided sPLNDs, one can demonstrate a high staging accuracy accompanied by even low morbidity. We have updated the only available sentinel nomogram predicting the

probability of LNI in patients undergoing a sPLND at radical prostatectomy. Comparable with ePLND models, the percentage of positive cores represents the leading predictor of LNI. Considering the percentage of positive cores and primary and secondary biopsy Gleason grade as additional predictors, the updated sentinel nomogram demonstrates a higher degree of accuracy with improved calibration. However, an external validation of the updated sentinel nomogram and an examination of its ability to predict LNI in patients undergoing ePLND is still pending.

## Competing Interests

The authors have declared that no competing interest exists.

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