Embryonic stem cell-derived neural progenitors as non-tumorigenic source for dopaminergic neurons

Mei-Chih Liao, Mihaela Diaconu, Sebastian Monecke, Patrick Collombat, Charles Timaeus, Tanja Kuhlmann, Walter Paulus, Claudia Trenkwalder, Ralf Dressel, Ahmed Mansouri

METHODS: The human embryonic stem (hES) cell line H9 was used to generate human neural progenitor (HNP) cell lines. The resulting HNP cell lines were differentiated into dopaminergic neurons and analyzed by quantitative real-time polymerase chain reaction and immunofluorescence for the expression of neuronal differentiation markers, including beta-III tubulin (TUJ1) and tyrosine hydroxylase (TH). To assess the risk of teratoma or other tumor formation, HNP cell lines and mouse neuronal progenitor (MNP) cell lines were injected subcutaneously into immunodeficient SCID/beige mice.

RESULTS: We developed a fairly simple and fast protocol to obtain HNP cell lines from hES cells. These cell lines, which can be stored in liquid nitrogen for several years, have the potential to differentiate in vitro into dopaminergic neurons. Following day 30 of differentiation culture, the majority of the cells analyzed expressed the neuronal marker TUJ1 and a high proportion of these cells were positive for TH, indicating differentiation into dopaminergic neurons. In contrast to H9 ES cells, the HNP cell lines did not form tumors in immunodeficient SCID/beige mice within 6 mo after subcutaneous injection. Similarly, no tumors developed after injection of MNP cells. Notably, mouse ES cells or neuronal cells directly differentiated from mouse ES cells formed teratomas in more than 90% of the recipients.

CONCLUSION: Our findings indicate that neural progenitor cell lines can differentiate into dopaminergic neurons and bear no risk of generating teratomas or other tumors in immunodeficient mice.

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Key words: Human embryonic stem cells; Neural progenitor cells; Teratoma; Pluripotency; Dopaminergic

Abstract

AIM: To find a safe source for dopaminergic neurons, we generated neural progenitor cell lines from human embryonic stem cells.
Differentiated cells are caused by β-ACTIN and may lead to the formation of teratomas, a characteristic feature of pluripotent cells. It is assumed that most of these tumors observed following experimental transplantation of such in vitro differentiated cells are caused by a minor population or even single still pluripotent cells contaminating the grafts. Therefore we established a simple and fast protocol to derive human neural progenitors (HNP) from hES cells. These neural progenitors can be maintained in culture for several weeks and can be stored for at least five years in liquid nitrogen without losing their capacity to differentiate into midbrain dopaminergic neurons.

To examine whether hES cell-derived neural progenitor cells still have the risk to form teratomas, cells were injected subcutaneously into immunodeficient mice. Remarkably, no tumors were detected even six months after injection of up to $2 \times 10^6$ HNP cells.

### MATERIALS AND METHODS

#### Cell culture

The Robert-Koch Institute in Berlin has approved working with hES cell lines H1 and H9 imported from WiCell (Madison, Wisconsin, United States) in compliance with German law (AZ. 1710-79-1-4-5). Human ES cells H9 were cultured as described previously. Briefly, cells were plated on mitomycin C-inactivated mouse fibroblasts ($1.9 \times 10^4$ cells/cm$^2$) in KnockOut medium (Life Technologies, Darmstadt, Germany) containing 20% KnockOut serum replacement (KSR) (Life Technologies), 2 mmol/L glutamine, 1 mmol/L non-essential amino acids (NEAA) (Life Technologies), 0.1 mmol/L beta-mercaptoethanol, 5 ng/mL basic fibroblast growth factor (bFGF) (Pepro Tech, Hamburg, Germany) and penicillin/streptomycin (P/S) (Life Technologies). Cells grown to 70% confluence were dissociated using accutase (PAA Laboratories, Cölbe, Germany) in the presence of Rock Inhibitor (Sigma-Aldrich, Taufkirchen, Germany) and split 1 to 3 or 1 to 5. The neural induction medium consisted of KnockOut medium containing 15% KSR (Gibco, Life Technologies), 2 mmol/L glutamine, 200 ng/mL noggin (R and D Systems, Wiesbaden, Germany) or 2 µmol/L dorsomorphin (Sigma-Aldrich), 1 mmol/L NEAA, 0.1 mmol/L beta-mercaptoethanol, and P/S. The HNP medium consisted of Neurobasal medium (Life Technologies) containing N2 and B27 supplements (Life Technologies), 20 ng/mL bFGF, 20 ng/mL epidermal growth factor (EGF) (Pepro Tech GmbH), 0.2 mmol/L ascorbic acid, and 2000 U/mL human leukemia inhibitory factor (LIH) (Merek Millipore, Darmstadt, Germany).

#### Dopaminergic neuron differentiation

HNP cells ($5\rightarrow 7.5 \times 10^4$) were seeded on matrigel coated 3.5 cm culture dishes. The next day the cells were fed with neural differentiation medium (Neurobasal medium, 1 mmol/L NEAA, 1 × P/S, 2 mmol/L glutamine, N2 and B27 supplements minus Vitamin A, 0.2 mmol/L ascorbic acid, 100 ng/mL fibroblast growth factor 8 (FGF8) (R and D Systems), 100 ng/mL Sonic hedgehog (SHH) (R and D Systems) or 1-2 µmol/L purmorphamine (Cayman Chemical, Biomol, Hamburg, Germany). Medium was changed every other day. After two weeks, the cells were fed with neural differentiation medium containing 20 ng/mL gial cell-derived neurotrophic factor (GDNF) (Pepro Tech), 20 ng/mL brain-derived neurotrophic factor (BDNF) (Pepro Tech), 1 ng/mL transforming growth factor (TGF)-β3 (R and D Systems), and 0.5 mmol/L dibutyryl-cAMP (dbcAMP) (Sigma Aldrich) without FGF8, SHH or purmorphamine.
to induce neuron maturation. Cells were analyzed after day 30 of the differentiation procedure. The HNP freezing medium consisted of the HNP medium with 10% dimethyl sulfoxide (DMSO). Medium for culture of PA6 cells was Dulbecco's Modified Eagle's Medium (DMEM) (Life Technologies) containing 10% fetal calf serum (FCS) (Life Technologies).

**Immunofluorescence**

For immunofluorescence staining, cells grown on glass coverslips (BD Bioscience, Heidelberg, Germany) were washed with phosphate-buffered saline (PBS), fixed for 10 min in 4% paraformaldehyde (PFA). Primary antibodies were used to detect nestin (MAB1259, 1:750; R and D Systems), musashi (ab21628, 1:200; Abcam, Cambridge, UK), CD133 (ab19898, 1:200; Abcam), beta-III tubulin (TUJ1) (MMS-435P, 1:1000; Covance, Princeton, NJ, United States), tyrosine hydroxylase (TH) (AB152, 1:300; Millipore), and paired box protein 6 (PAX6) (PRB278P, 1:300; Covance). As secondary antibodies, we used Alexa 488-labeled goat anti-rabbit IgG (A-11008, 1:750; Life Technologies), Alexa 488-labeled goat anti-mouse IgG (A-11001, 1:750; Life Technologies), Alexa 594-labeled donkey anti-rabbit IgG (A-21207, 1:750, Life Technologies), and Alexa 594-labeled goat anti-rabbit IgG (A-11012, 1:750; Life Technologies).

**Quantitative real-time polymerase chain reaction**

Neural progenitors and differentiated neurons were collected and total RNA extracted using the RNasy Mini Kit (Qiagen, Hilden, Germany). RNA (1 μg) was used for cDNA synthesis with the QuantiTect Rev Transcription Kit (Qiagen) in 20 μL reaction volume. 20 μL cDNA were diluted in 60 μL RNase-free water and subsequently 2 μL were used for quantitative real-time polymerase chain reaction (q-RTPCR) amplification. Each q-RTPCR reaction was run in a 10 μL reaction volume containing 1 μL of the QuantiTect Primers (Qiagen) and 5 μL 2 × qPCR Master Mix (Kapa Biosystem, Woburn, MA, United States). The following QuantiTect Primers were used: glyceraldehyde 3-phosphate dehydrogenase (GAPDH) (QT01192646), LIM homeobox transcription factor 1 (LHX1-A) (QT00048055), pituitary homeobox 3 (PITX2) (QT01006047), nuclear receptor related 1 protein (NURR1) (QT00037716), neurogenin-2 (NGN2) (QT00020447), paired box protein 6 (PAX6) (QT00071169), glial fibrillary acidic protein (GFAP) (QT00081151), tyrosine hydroxylase (TH) (QT00067221), and dopamine transporter (DAT) (QT00000231). All q-RTPCRs were performed with a Mastercycler ep realplex (Eppendorf, Hamburg, Germany).

**Animal experiments**

Animal experiments were approved by the local government. Rats (LOU/c) were conventionally housed in the central animal facility of the University Medical Center Göttingen. Severe combined immunodeficient SCID/beige (C.B-17/1crHsd-scid-bg) mice were kept under pathogen-free conditions as they lack T and B-lymphocytes and have no functional natural killer (NK) cells. A subgroup of rats received daily intraperitoneal injections of cyclosporin A (CsA) (10 mg/kg, Sandimmune, Novartis Pharma, Nürnberg, Germany) commencing two days before grafting. For the analysis of subcutaneous tumor growth, the cells were injected in 100 μL PBS into the flank of the animals. Tumor growth was monitored regularly by palpation. Animals were sacrificed 3 or 6 mo after injection and autopsies were performed. Tumor tissue or subcutaneous tissue at the site of injection was immediately frozen in liquid nitrogen, later placed in phosphate-buffered 4% formalin for 16 h and then embedded in paraffin. Tissue sections (2.5 μm) were stained with hematoxylin and eosin (HE) for histological examination.

**Statistical analysis**

Teratoma frequencies were analyzed with contingency tables using WinSTAT software (R. Fitch Software, Bad Krozingen, Germany).

**RESULTS**

**Derivation of neural progenitor cells from human ES cells**

Using a monolayer of the stromal cell line PA6, mouse and human ES cells can be differentiated into neuronal cells with a high proportion of neurons displaying mesencephalic dopaminergic fate[6]. We have established a procedure to culture mouse ES cells on PA6 cells to generate mouse neuronal progenitors (MNP) that can be frozen or maintained in culture for several passages[7,8]. Human ES cells were first cultured for two passages in hES medium[7] before subjection to neural differentiation. Cells were passaged using accutase in the presence of the Rock Inhibitor Y27632[9]. Then, 9 × 10^5 hES cells were plated on a feeder layer of mitomycin C-inactivated PA6 cells on a 3.5 cm dish and cultured for 36 h in hES medium[1]. Afterwards, the medium was replaced by neural induction medium containing noggin (200 ng/L) or dorsomorphin (2 μmol/L)[10]. Half of the medium was replaced every other day. The onset of neuronal differentiation was monitored by the appearance of neural rosettes, the first of which was usually recognized at day 11 after plating hES cells on PA6 stromal cells. Neural rosettes were individually picked under the stereomicroscope. Cell aggregates were transferred to gelatinized 24-well-plates and cultured in HNP medium consisting of Neurobasal medium containing N2 and B27 supplements, ascorbic acid, NEAA, 10 ng/mL bFGF, 10 ng/mL EGF, and 2000 U/mL LIF. After 4-5 d, cells from each well were treated with accutase and passed on two gelatinized wells of the subcutaneous tissue at the site of injection was immediately frozen in liquid nitrogen, later placed in phosphate-buffered 4% formalin for 16 h and then embedded in paraffin. Tissue sections (2.5 μm) were stained with hematoxylin and eosin (HE) for histological examination.

**Neural progenitor cells from human ES cells**

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TUJ1 and a high proportion of these were positive for TH, indicating the development of dopaminergic neurons as shown in Figure 2. In addition, PAX6, a marker of midbrain tegmentum, was detected. Moreover, we performed q-RTPCR to analyze the expression of several neuronal markers that were previously described to label midbrain dopaminergic neurons, such as NGN2, PITX3, TH and DAT\[11-13]\ (Figure 3A).

It has been reported that the number of dopaminergic neurons may decrease when progenitor cells are maintained for longer periods in culture. We therefore monitored midbrain dopaminergic markers in cultures obtained at different passages of HNP4 cells by q-RT-PCR. Neuronal marker genes, such as NGN2, PITX3, PAX5, TUJ1 and DAT, were expressed at a similar level in cultures obtained from HNP4 cells at passages 6, 9 and 16 (Figure 3B). The expression of TUJ1 and TH proteins in neuronal cells differentiated from HNP4 cells at passage 16 were detected by confocal microscopy (Figure 4).

Thus, HNP cells retain their capacity to differentiate into dopaminergic neurons even after a higher number of passages.

MNP and HNP cells do not induce teratomas in immunodeficient mice

To determine the risk of tumor growth after transplantation of mouse neural progenitor cells, we injected $1 \times 10^6$ MNP cells subcutaneously into B, T and NK cell deficient SCID/beige mice ($n = 9$). No tumors developed after injection of MNP cells (at passage 20) derived from...
mES cells (MPI-II) in the following 3 mo (Table 1). Importantly, injection of $1 \times 10^6$ mES cells or neuronal cells differentiated from mES cells resulted in teratoma growth in more than 90% of the recipients.[4] Thus, the teratoma frequencies were significantly different after injection of these cell types ($P = 1.47 \times 10^{-7}$).

Our previous studies revealed that the risk of teratoma growth could be higher after injection of differentiated cells as compared to undifferentiated mES cells when CsA-treated rats were used as recipients.[4] Therefore, we also injected the MNP cells into rats receiving CsA (10 mg/kg per day) for immunosuppression. Again, no tumors were observed after three months (Table 1), indicating a significantly reduced risk for tumor formation after injection of MNP cells compared to mES cells and neuronal cells differentiated from mES cells ($P = 1.9 \times 10^{-6}$). Similarly, two human HNP cell lines (HNP1 and HNP4) at passages between 10 and 21 did not form tumors in immunodeficient SCID/beige mice even within 6 mo after subcutaneous injection in contrast to hES cells H9 (Table 2). The teratoma frequencies were significantly different comparing mice injected with HNP1 or HNP3 and hES H9 cells ($P = 0.00013$). We did not find leftovers of the injected HNP cells, such as neural rosettes, in the subcutaneous tissue at the site

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**Figure 2** Immunofluorescence staining of neuronal cells differentiated from human neural progenitor cells. A: Neurons differentiated in vitro from embryonic stem cell-derived human neural progenitor (HNP4) cells express beta-III tubulin (TUJ1); B: Tyrosine hydroxylase (TH); C: PAX6; D: Neural progenitor marker Nestin; E: HNP4 cells do not express the pluripotency marker octamer binding transcription factor 4 (OCT4); F: Glial fibrillary acidic protein (GFAP).

**Figure 3** Characterization of dopaminergic neurogenesis in human embryonic stem (H9) derived neural progenitor cells (HNP4) by expression analysis of marker genes. A: The expression of several specific marker genes (NGN2, NURR1, LMX1A, PITX3, TH and DAT) is displayed as determined by q-RTPCR analysis. The relative gene expression of differentiated neuronal cells (HNP neural diff) at passage 16 was normalized to the house-keeping gene GAPDH and the neural progenitors (HNP4). Three independent experiments were performed and the means ± SE are indicated; B: The expression of several specific marker genes (NGN2, PAX6, PITX3, TUJ1, DAT and GFAP) was analyzed 30 d after starting neuronal differentiation of HNP4 cells at passages (p) 6, 9 and 16 by q-RTPCR analysis. The relative gene expression of differentiated neuronal cells was normalized to the house-keeping gene GAPDH and the neural progenitors (HNP4).
of injection (data not shown), suggesting that the HNP cells did not survive.

**DISCUSSION**

The formation of tumors, either teratomas and teratocarcinomas or tumors with a more restricted tissue composition, after transplantation of cells or tissues derived from pluripotent stem cells remains a major problem for the therapeutic application of these cells in regenerative medicine\[^{14}\]. The assessment of the tumorigenicity of stem cell-derived grafts is complicated by the fact that it depends heavily on the immune response of the recipient\[^{4,17}\]. Grafts that do not form tumors in xenogeneic or allogeneic hosts due to immune rejection might form tumors in syngeneic recipients\[^{18}\]. The finding that cellular grafts derived from ES cells do not form tumors in xenogeneic recipients\[^{19}\] must not indicate that the graft is safe in an allogeneic or even syngeneic recipient\[^{19}\]. Moreover, in a previous study, we found that grafts obtained after neuronal differentiation of mES cells (MPI-II) for 14 d from the mES cells (>95% beta-III tubulin-positive)\[^{20}\] were injected subcutaneously into the flank of SCID/beige mice or LOU/c rats treated with CsA (10 mg/kg per day). The percentage and number of animals is indicated in which tumors were found during autopsy at the site of injection 3 mo following injection.

**Table 1** Tumor formation after subcutaneous injection of mouse neuronal progenitor cells in comparison to mouse embryonic stem cells and neuronal cells differentiated in vitro from mES cells

<table>
<thead>
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<th>SCID/beige</th>
<th>LOU/c + CsA</th>
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<tr>
<td>MNP</td>
<td>0% (0/9)</td>
<td>0% (0/9)</td>
</tr>
<tr>
<td>mES cells</td>
<td>95% (13/14)</td>
<td>0% (0/25)</td>
</tr>
<tr>
<td>Neuronal cells</td>
<td>94% (17/18)</td>
<td>61% (11/18)</td>
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</tbody>
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1 × 10^6 mouse neuronal progenitor (MNP), mouse embryonic stem (mES) cells (MPI-II)\[^{20}\] or neuronal cells differentiated in vitro for 14 d from the mES cells (>95% beta-III tubulin-positive)\[^{20}\] were injected subcutaneously into the flank of SCID/beige mice or LOU/c rats treated with CsA (10 mg/kg per day). The percentage and number of animals is indicated in which tumors were found during autopsy at the site of injection 3 mo following injection.

**Table 2** Tumor formation after subcutaneous injection of human neuronal progenitor cell lines in comparison to human embryonic stem cells

<table>
<thead>
<tr>
<th></th>
<th>SCID/beige</th>
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<tbody>
<tr>
<td>HNP1</td>
<td>0% (0/6)</td>
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<tr>
<td>HNP1 p19 2 x 10^6</td>
<td>0% (0/3)</td>
</tr>
<tr>
<td>HNP4 p10 1 x 10^6</td>
<td>0% (0/3)</td>
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<tr>
<td>HNP4 p21 2 x 10^6</td>
<td>0% (0/9)</td>
</tr>
<tr>
<td>hES cells (H9)</td>
<td>75% (3/4)</td>
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1 or 2 × 10^6 human neuronal progenitor cells (HNP1 or HNP4) at passages 9 or 10 and 19 or 21, respectively, and 1 × 10^6 human embryonic stem (hES) cells (H9) were injected subcutaneously into the flank of SCID/beige mice. The percentage and number of animals is indicated in which tumors were found during autopsy at the site of injection 6 mo (HNP1 and HNP4) or 3 mo (hES cells H9) following injection.

It is a major challenge to produce cellular grafts directly from pluripotent stem cells and to avoid a contamination with tumorigenic cells. Strategies to remove tumorigenic cells from grafts include prolonged differentiation\[^{21}\], cell sorting or selection\[^{22,23}\], introduction of suicide genes\[^{23,24}\], and killing of remaining undifferentiated cells before transplantation\[^{24,25}\]. However, all grafts that are derived from pluripotent stem cells are in principle at risk of containing tumor-forming cells\[^{26,27}\]. As few as 2 mouse ES cells\[^{27}\] or 245 human ES cells\[^{28}\] were reported to form teratomas in immunodeficient mice.

Therefore, it might be a more promising alternative to differentiate therapeutic grafts from pre-differentiated progenitor cell lines, which are not able to form tumors even in immunodeficient hosts. We have shown that mouse and human neuronal progenitor cells do fulfill this prerequisite. Both did not form tumors after injection in SCID/beige mice, which are deficient for T and B cells and that do not have functional NK cells. The mice were observed for 3 mo after injection of MNP cells and even 6 mo after injection of HNP cells before autopsy. MNP cells\[^{29}\] were compared with mES cells and neuronal cells directly differentiated from these mES cells (Table 1).

Only MNP cells were safe and failed to form teratomas. Moreover, MNP cells also did not form tumors in CsA-treated rats, in which neuronal cells directly differentiated from these mES cells (Table 1). The subcutaneous injections were performed to assess the tumor risk after injection of neuronal progenitor cell lines. The subcutaneous tissue usually does not promote the survival of neuronal cells over several months and we indeed did not detect leftovers of the HNP cells at the site of injection. Importantly, the results indicate that MNP and HNP cell lines do not have the capacity to form tumors. Thus, these cells are...
apparently a much safer cell type for differentiation of neuronal grafts than ES cells, even without any selection strategy to remove the progenitor cells from differentiation cultures. The HNP cell lines were tested at passages between 9 and 21 for their capability to differentiate into dopaminergic neurons in vitro and to form tumors in vivo. The HNP cell lines differentiated with similar efficacy and did not form tumors at earlier and later passages. These data now encourage the testing of cell survival and therapeutic efficacy of dopaminergic neurons differentiated from these neuronal progenitor cell lines after intrastriatal transplantation in models of Parkinson’s disease. The potential therapeutic efficacy of dopaminergic neurons derived from human ES cells has been recently demonstrated in xenotransplantation models using rats and rhesus macaques\(^{29}\). However, this experimental setting cannot exclude a tumor risk after an allogeneic or even autologous transplantation of stem cell-derived human grafts\(^{29}\).

In conclusion, our findings clearly indicate that neural progenitor cells derived from mouse and human embryonic stem cells do not have the potential to generate teratomas or other tumors even up to six months following injection into immunodeficient animals. We think that this may also apply to iPSC-derived neural progenitor cells. Our ongoing experiments using iPSCs derived from patients with Parkinson’s disease support this notion. Thus, such hESC cell-derived neural progenitors represent a strategy to circumvent safety concerns when used for potential future stem cell-based therapies. Moreover, HNP cells from iPSCs of patients with Parkinson’s or other neurological diseases may be used for assessing alterations in neural differentiation properties or other defects that cannot be analyzed in patients.

ACKNOWLEDGMENTS

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COMMENTS

Background

The establishment of human embryonic stem cells has opened new perspectives for the development of cell-based therapies for treatment, e.g., of neurodegenerative disorders such as Parkinson’s disease. The use of pluripotent cells as a source for the generation of tissue for transplantation suffers from the risk of teratoma formation, an inherent feature of pluripotent cells.

Research frontiers

Numerous strategies were suggested in the literature to deplete tumor-forming cells before grafting, including prolonged differentiation cultures. However, the authors of this study have shown previously that the risk of teratoma formation can even increase during differentiation culture due to an alteration of the immunological properties of the cells. To circumvent these problems, the authors of this study have developed mouse and human embryonic stem cell-derived neural progenitor cell lines.

Innovations and breakthroughs

The authors describe a new protocol to obtain human neural progenitor cell lines from embryonic stem cells which is fast and simple. These cell lines, which can be stored for several years, are shown to differentiate in vitro into dopaminergic neurons. Notably, human as well as mouse neuronal progenitor cell lines did not form any tumors in immunodeficient mice.

Applications

Neural progenitor cell lines might be useful to differentiate dopaminergic neurons in vitro for transplantation in patients suffering from Parkinson’s disease. The neural progenitor cell lines appear to be a safer alternative for the generation of grafts compared to embryonic stem cells since they did not form tumors in immunodeficient mice.

Terminology

Neural progenitor cell line is a cell line derived from embryonic stem cells which can differentiate into neuronal cells, including dopaminergic neurons. Dopaminergic neurons are the neurons in the substantia nigra of the brain that are lost in Parkinson’s disease. Teratomas are tumors containing derivatives of all three germinal layers which can occur after transplantation of pluripotent stem cells.

Peer review

In this manuscript, the authors generated both human and mouse neural precursor cell lines from embryonic stem cells. This study is very interesting and the writing style in this study was easy to follow.

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